

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Tormen et al. GROUP: Unknown  
SERIAL NO: Unknown EXAMINER: Unknown  
FILED: Herewith  
FOR: NESTED FUNCTION RING RESONATOR

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that is required.

Respectfully submitted,

Pto Clerk by No. 43,059

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CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on September 16, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV271853965US addressed to the: Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

MIT.9858  
ATTORNEY DOCKET NO.

APPLICANT: Tormen et al.

FILING DATE: Herewith

Unknown  
SERIAL NO.

GROUP: Unknown

EXAMINER: Unknown

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
	AK						
	AL						

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	AM	"An optical filter of adjustable finesse using a mach zehnder interferometer," Chew et al. <i>Singapore ICCS</i> . 1994.
	AN	
	AO	

EXAMINER

DATE CONSIDERED

**EXAMINER:**

Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.